

## FORMALITIES LETTER



\*OC00C000005157678\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/540,024	03/31/2000	Tzianabos	B0801/7169

Helen C Lockhart  
Wolf Greenfield & Sacks PC  
600 Atlantic Avenue  
Boston, MA 02210

**COPY**

Date Mailed: 06/05/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)***Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$3204.
  - \$2268 for 126 total claims over 20.
  - \$936 for 12 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4024.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

10/16/2000 GDUCKETT 00000002 232825 09540024

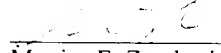
01 FC:205 65.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tzianabos et al.  
Serial No : 09/540,024  
Filed : March 31, 2000  
For : IMMUNOMODULATING POLYMERS  
Examiner : Unknown  
Art Unit : Unknown

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on September 13, 2000.

  
Monica E. Zombori

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are the following documents:

- ☒ Fee Calculation Sheet
- ☒ Declaration Claiming Small Entity Status
- ☒ Notice to File Missing Parts
- ☒ Preliminary Amendment
- ☒ Request for Two-Month Extension of Time
- ☒ Declaration for Patent Application
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. The fee of \$1,016.00 may be charged to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 

Alan W. Steele, Reg. No. 45,128  
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Telephone (617) 720-3500

Docket No. B0801/7169(HCL)  
**X10/5/00**

Inventor(s): Tzianabos et al.

Serial No: 09/540,024

Filed: March 31, 2000

For: IMMUNOMODULATING POLYMERS

CHECK BOX, if applicable:

☐ DUPLICATE

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	32-20=	12x	\$ 18	= \$ 216.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	15-3=	12 x	\$ 78	= \$ 936.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
	Fee for Petition for Extension of Time (if any)				\$ 190.00
	Total of above Calculations =				\$ 2,032.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1,016.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 1,016.00

1. Charge \$1,016.00 to Deposit Account No. 23/2825 to cover the filing fees.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16, 1.17 or 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16, 1.17 or 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Alan W. Steele, Reg. No. 45,128  
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 (617) 720-3500  
 Attorneys of Record

Docket No. B0801/7169 HCL  
 Date: 09/13/00